

## INSTRUCTIONS FOR OPENING A SECURITIES TRADING ACCOUNT FOR DOMESTIC INSTITUTIONAL CUSTOMERS

Customers need to prepare necessary documents and files and go to NVS to complete the procedures to register to open a securities trading account and register to use trading utilities.

No.	Required documents	Quantity	Sample
<i>For customers depositing at NVS</i>			
1	Application form and Contract for opening securities trading account (for Depository Clients)	02 originals	Provided by NVS
2	Certificate of incorporation/Certificate of business registration	01 valid copy	Organization
3	Resolution/ Appointment decision/Document designating legal representative (In case the Business License/Business Registration Certificate does not have information about the legal representative)	01 valid copy	Organization
4	Appointment decision/Document designating chief accountant, officers/employees participating in the management of the Securities Trading Center (if any)	01 valid copy	Organization
5	Citizen identification of legal representative and those participating in	01 valid copy	Organization

	the management of the securities trading account		
<i>For customers not depositing at NVS</i>			
1	Application form and Contract for opening securities trading account (for non-Depository Customers)	02 originals	Provided by NVS
2	Certificate of incorporation/Certificate of business registration	01 valid copy	Organization
3	Resolution/Decision on appointment/Document designating legal representative (In case the Business License/Business Registration Certificate does not have information about the legal representative)	01 valid copy	Organization
4	Appointment decision/Document designating chief accountant, officers/employees participating in the management of the Securities Trading Center (if any)	01 valid copy	Organization
5	Citizen identification of legal representative and those participating in the management of the securities trading account	01 valid copy	Organization
6	Certificate of Depository Account Number	01 valid copy	Organization