

INSTRUCTION FOR OPENING A SECURITIES TRADING ACCOUNT FOR DOMESTIC INDIVIDUAL CUSTOMERS

Customers need to prepare the necessary documents for NVS to complete the procedures for opening a securities trading account and registering for trading utilities.

No.	Required documents	Quantity	Form
<i>For customers with securities in custody at NVS</i>			
1	Application and Contract for opening a securities trading account (for Custodial Clients)	02 original documents	Provided by NVS
2	Citizen identification card	01 original document	Customer
<i>For customers with securities in Non-custody at NVS</i>			
1	Application and agreement for opening a securities trading account (for Non-Custodial Clients)	02 original documents	Provided by NVS
2	Citizen identification card	01 original document	Customer
3	Certificate of Valid custodial account number	01 original document	Customer