

INSTRUCTION FOR OPENING A SECURITIES TRADING ACCOUNT FOR FOREIGN INDIVIDUAL CUSTOMERS

Provided below is a sample application form for foreign individuals to open a securities trading account in Vietnam, along with a step-by-step guide to complete the process.

No.	Required documents	Quantity	Form
<i>I. Application for securities trading code</i>			
<i>1. In case where there is no authorization for a representative to conduct transactions, the required documents include:</i>			
1	Securities trading code registration form in VSD Format (For individual customers)	01 original document	Customer
2	Authorization for NVS to complete the securities trading code application process	01 original document	Customer
3	Valid Passport	01 valid copy	Customer
<i>2. In case where there is authorization for a representative to conduct transactions, the required documents include</i>			
4	Authorization document for the transaction representative	01 original document	Customer
5	Transaction representative information sheet	01 original document	Customer
6	Citizen identification card/ Passport of the transaction representative	01 valid copy	Customer
7	Securities practicing certificate of the transaction representative	01 valid copy	Customer
<i>II. NVS Account opening application</i>			

1	Application and agreement for opening a securities trading account (for Custodial/ Non-Custodial Clients)	02 original documents	Provided by NVS
2	Securities trading code registration certificate issued by VSD	01 valid copy	Customer